

## 4. Computation Tree Logic (2)

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- 1 Counterexamples and Witnesses
- 2 Symbolic CTL Model Checking

# 1 Counterexamples and Witnesses

In the case of LTL

A counterexample for  $TS \not\models \varphi$  is a **sufficiently long prefix of a path**  $\pi$  that indicates why  $\pi$  **refutes**  $\varphi$ .

# 1 Counterexamples and Witnesses

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## Example 1

- 1 A counterexample for the LTL formula  $\diamond a$  is a finite prefix of just  $\neg a$ -states that ends with a single cycle traversal, i.e., there is a  $\square \neg a$ -path
- 2 A counterexample for  $\bigcirc a$  consists of a path  $\pi$  for which  $\pi[1]$  violates  $a$

# 1 Counterexamples and Witnesses

## In the case of CTL

- 1 **Counterexamples** indicate the refutation of universally quantified path formulae
- 2 **Witnesses** indicate the satisfaction of existentially quantified path formulae

## From a path-based view (for a path $\pi$ )

- 1 a sufficiently long prefix of  $\pi$  with  $\pi \not\models \varphi$  is a **counterexample** for the CTL state formula  $\forall\varphi$
- 2 a sufficiently long prefix of  $\pi$  with  $\pi \models \varphi$  is a **witness** of the CTL state formula  $\exists\varphi$

# 1 Counterexamples and Witnesses

Let  $TS = (S, Act, \rightarrow, I, AP, L)$  be a finite transition system without terminal states.

- **The Next Operator, CTL path formula  $\varphi = \bigcirc\Phi$**

- ① **Counterexample:**  $(s, s')$  with  $s \in I$  and  $s' = Post(s)$  such that

$$s' \not\models \Phi$$

- ② **Witness:**  $(s, s')$  with  $s \in I$  and  $s' = Post(s)$  such that

$$s' \models \Phi$$

- **The Until Operator, CTL path formula  $\varphi = \Phi \mathbf{U} \Psi$**

- ① **Witness:** an initial path fragment  $s_0s_1\dots s_n$  for which

$$s_n \models \Psi \quad \text{and} \quad s_i \models \Phi \quad \text{for } 0 \leq i < n.$$

- ② **Counterexample:** an initial path fragment that indicates a path  $\pi$ :

$$\pi \models \Box(\Phi \wedge \neg\Psi) \quad \text{or} \quad \pi \models (\Phi \wedge \neg\Psi) \mathbf{U} (\neg\Phi \wedge \neg\Psi)$$

# 1 Counterexamples and Witnesses

**Counterexample** for  $\varphi = \Phi \mathbf{U} \Psi$ :

- ① For  $\pi \models \Box(\Phi \wedge \neg\Psi)$ , an initial path fragment:

$$\underbrace{s_0 s_1 \dots s_{n-1} \underbrace{s_n s'_1 \dots, s'_r}_{\text{cycle}}}_{\text{satisfy } \Phi \wedge \neg\Psi} \quad \text{with } s_n = s'_r.$$

- ② For  $\pi \models (\Phi \wedge \neg\Psi) \mathbf{U} (\neg\Phi \wedge \neg\Psi)$ , an initial path fragment:

$$\underbrace{s_0 s_1 \dots s_{n-1}}_{\text{satisfy } \Phi \wedge \neg\Psi} s_n \quad \text{with } s_n \models \neg\Phi \wedge \neg\Psi$$

# 1 Counterexamples and Witnesses

Counterexamples can be determined by an analysis of the digraph  $G = (S, E)$  where

$$E = \{(s, s') \in (S \times S) \mid s' \in \text{Post}(s) \wedge s \models \Phi \wedge \neg\Psi\}.$$

- 1 Each path in  $G$  that starts in an initial state  $s_0 \in S$  and leads to a nontrivial SCC  $C$  in  $G$  provides a counterexample of the form

$$s_0 s_1 \dots \underbrace{s_n s'_1 \dots s'_r}_{\in C} \quad \text{with} \quad s_n = s'_r$$

- 2 Each path in  $G$  that leads from an initial state  $s_0$  to a trivial terminal SCC

$$C = \{s'\} \quad \text{with} \quad s' \models \neg\Phi \wedge \neg\Psi$$

provides a counterexample of the form  $s_0 s_1 \dots s_n$  with  $s_n \models \neg\Phi \wedge \neg\Psi$ .



# 1 Counterexamples and Witnesses

Let  $TS = (S, Act, \rightarrow, I, AP, L)$  be a finite transition system without terminal states.

- **The Always Operator, CTL path formula  $\varphi = \Box\Phi$**

- 1 Counterexample: an initial path fragment  $s_0s_1\dots s_n$  such that

$$s_i \models \Phi \quad \text{for } 0 \leq i < n \text{ and } s_n \not\models \Phi$$

Counterexamples may be determined by a backward search starting in  $\neg\Phi$ -states

- 2 Witness: an initial path fragment of the form

$$\underbrace{s_0s_1\dots s_n s'_1\dots s'_r}_{\text{satisfy } \Phi} \quad \text{with } s_n = s'_r.$$

Witnesses can be determined by a simple cycle search in the digraph  $G = (S, E)$ , with  $E = \{(s, s') \mid s' \in Post(s) \wedge s \models \Phi\}$

# 1 Counterexamples and Witnesses

## Theorem 2 (Time Complexity of Counterexample Generation)

Let  $TS$  be a transition system with  $N$  states and  $K$  transitions and  $\varphi$  a CTL path formula.

- 1 If  $TS \not\models \forall\varphi$ , then a counterexample for  $\varphi$  in  $TS$  can be determined in time  $O(N + K)$ .
- 2 The same holds for a witness for  $\varphi$ , provided that  $TS \models \exists\varphi$ .

- 1 Counterexamples and Witnesses
- 2 Symbolic CTL Model Checking**

## 2 Symbolic CTL Model Checking

To attack the state explosion problem, the CTL model-checking procedure can be reformulated in a **symbolic** way:

- 1 **Binary encoding** of the states, which permits identifying subsets of the state space
- 2 Symbolic approach: operates on **sets** of states rather than single states and
- 3 relay on a **representation of transition systems** by **switching functions**

## 1 Counterexamples and Witnesses

## 2 Symbolic CTL Model Checking

- **Switching Functions**
  - Encoding Transition Systems by Switching Functions
  - Ordered Binary Decision Diagrams

## 2.1 Switching Functions

### Evaluation

One **evaluation** is a function:

$$\eta : \text{Var} \rightarrow \{0, 1\},$$

in which,  $\text{Var} = \{z_1, \dots, z_m\}$ ,  $z_i$  are **Boolean variables**.

- 1  $\text{Eval}(z_1, \dots, z_m)$  denotes the set of evaluations for Boolean variables  $z_1, \dots, z_m$
- 2 Evaluations are written as  $[z_1 = b_1, \dots, z_m = b_m]$  for  $b_i \in \{0, 1\}$
- 3  $[\bar{z} = \bar{b}]$  is short for the evaluation  $[z_1 = b_1, \dots, z_m = b_m]$  when  $\bar{z} = (z_1, \dots, z_m)$  and  $\bar{b} = (b_1, \dots, b_m)$

## 2.1 Switching Function

### Switching function

A **switching function** for  $Var$  is a function

$$f : Eval(Var) \rightarrow \{0, 1\}.$$

The special case  $Var = \emptyset$  is allowed. The switching functions for  $\emptyset$  are just constants 0 or 1.

- 1 Write  $f(z)$  or  $f(z_1, \dots, z_m)$  to indicate the underlying set of variables
- 2 Write  $f(b_1, \dots, b_m)$  or  $f(b)$  instead of  $f([z_1 = b_1, \dots, z_m = b_m])$  when  $z_i$  is clear from the context
- 3 Boolean connectives can be defined for switching functions

If  $f_1, f_2$  are switching functions, then

$$\begin{aligned} (f_1 \vee f_2)([z_1 = b_1, \dots, z_k = b_k]) \\ = \max\{f_1([z_1 = b_1, \dots, z_m = b_m]), f_2([z_n = b_n, \dots, z_k = b_k])\}. \end{aligned}$$

## 2.1 Switching Function

### Projection function

We often simply write  $z_i$  for the **projection function**:

$$pr_{z_i} : Eval(\bar{z}) \rightarrow \{0, 1\},$$

$pr_{z_i}([\bar{z} = b]) = b_i$  and 0 or 1 for the constant switching functions.

- 1 Switching functions can be represented by Boolean connections of the variables  $z_i$  (viewed as projection functions) and constants
- 2 For instance,  $z_1 \vee (z_2 \wedge \neg z_3)$  stands for a switching function



## 2.1 Switching Function

### Positive cofactor

Let  $f : Eval(z, y_1, \dots, y_m) \rightarrow \{0, 1\}$  be a switching function. The **positive cofactor** of  $f$  for variable  $z$  is the switching function

$$f|_{z=1} : Eval(z, y_1, \dots, y_m) \rightarrow \{0, 1\}$$

given by

$$f|_{z=1}(\zeta, b_1, \dots, b_m) = f(1, b_1, \dots, b_m)$$

where  $(\zeta, b_1, \dots, b_m) \in \{0, 1\}^{m+1}$  is short for  $[z = \zeta, y_1 = b_1, \dots, y_m = b_m]$

## 2.1 Switching Function

### Negative cofactor

Let  $f : Eval(z, y_1, \dots, y_m) \rightarrow \{0, 1\}$  be a switching function. The **negative cofactor** of  $f$  for variable  $z$  is the switching function

$$f|_{z=0} : Eval(z, y_1, \dots, y_m) \rightarrow \{0, 1\}$$

given by

$$f|_{z=0}(\zeta, b_1, \dots, b_m) = f(0, b_1, \dots, b_m)$$

where  $(\zeta, b_1, \dots, b_m) \in \{0, 1\}^{m+1}$  is short for  $[z = \zeta, y_1 = b_1, \dots, y_m = b_m]$

## 2.1 Switching Function

### Cofactor

Let  $f : Eval(z_1, \dots, z_k, y_1, \dots, y_m) \rightarrow \{0, 1\}$  be a switching function. The **iterated cofactor** (also simply called **cofactor**) of  $f$  is the switching function

$$f|_{z_1=b_1, \dots, z_k=b_k} : (\dots (f|_{z_1=b_1})|_{z_2=b_2} \dots)|_{z_k=b_k}$$

given by

$$f|_{z_1=b_1, \dots, z_k=b_k}(\zeta_1, \dots, \zeta_k, a_1, \dots, a_m) = f(b_1, \dots, b_k, a_1, \dots, a_m).$$

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### Cofactor

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given by

$$f|_{z_1=b_1, \dots, z_k=b_k}(\zeta_1, \dots, \zeta_k, a_1, \dots, a_m) = f(b_1, \dots, b_k, a_1, \dots, a_m).$$

### Essential Variable

Variable  $z$  is called **essential** for switching function  $f$  if  $f|_{z=0} \neq f|_{z=1}$ .

- 1 Variable  $z$  is **not essential** for the cofactors  $f|_{z=0}$  and  $f|_{z=1}$
- 2 At most the variables in  $Var \setminus \{z_1, \dots, z_k\}$  are essential for  $f|_{z_1=b_1, \dots, z_k=b_k}$  ( $f$  is a switching function for  $Var$ )

## 2.1 Switching Function

- ① Let switching function  $f(z_1, z_2, z_3) = (z_1 \vee \neg z_2) \wedge z_3$ ,

$$f|_{z_1=1} = z_3, \quad f|_{z_1=0} = \neg z_2 \wedge z_3,$$

variable  $z_1$  is essential for  $f$

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variable  $z_1$  is essential for  $f$

- ② Let  $Var = \{z_1, z_2, z_3\}$ ,  $z_2$  and  $z_3$  are not essential for the projection function  $pr_{z_1} = z_1$

$$z_1|_{z_2=0} = z_1|_{z_2=1} = z_1,$$

but  $z_1$  is essential for the projection function  $z_1$ :

$$z_1|_{z_1=1} = 1 \neq z_1|_{z_1=0} = 0.$$

## 2.1 Switching Function

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$$f|_{z_1=1} = z_3, \quad f|_{z_1=0} = \neg z_2 \wedge z_3,$$

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but  $z_1$  is essential for the projection function  $z_1$ :

$$z_1|_{z_1=1} = 1 \neq z_1|_{z_1=0} = 0.$$

- ③ Variables  $z_1$  and  $z_2$  are essential for

$$f(z_1, z_2, z_3) = z_1 \vee \neg z_2 \vee (z_1 \wedge z_2 \wedge \neg z_3),$$

but  $z_3$  is not,

$$f|_{z_3=1} = z_1 \vee \neg z_2 = f|_{z_3=0} = z_1 \vee \neg z_2 \vee (z_1 \wedge z_2)$$

## 2.1 Switching Function

### Theorem 3 ( Shannon Expansion)

If  $f$  is a switching function for  $Var$ , then for each variable  $z \in Var$ :

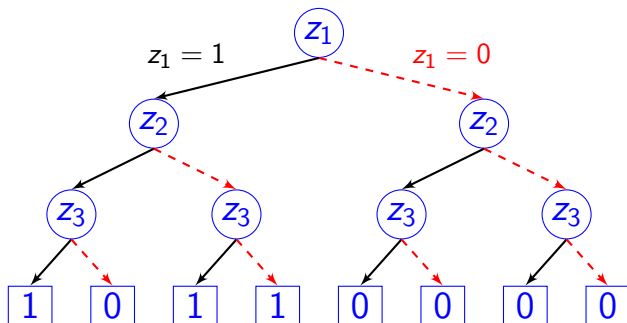
$$f = (\neg z \wedge f|_{z=0}) \vee (z \wedge f|_{z=1}).$$

- The Shannon expansion is inherent in the representation of switching functions by **binary decision trees**



## 2.1 Switching Function

Binary decision tree for  $f(z_1, z_2, z_3) = z_1 \wedge (\neg z_2 \vee z_3)$ :



- 1 The paths from the root to a leaf represent the evaluations
- 2 The leaves stand for the function values 0 or 1 of  $f$
- 3 The subtree of node  $v$  yields a representation of the iterated cofactor

$$f|_{z_1=b_1, \dots, z_m=b_m}$$

## 2.1 Switching Function

### Existential and Universal Quantification

Let  $f$  be a switching function for  $Var$  and  $z \in Var$ .

- $\exists z. f$  is the switching function give by:

$$\exists z. f = f|_{z=0} \vee f|_{z=1}$$

and  $\exists \bar{z}. f = \exists z_1. \exists z_2. \dots \exists z_k. f$

## 2.1 Switching Function

### Existential and Universal Quantification

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- The universal quantification is defined by

$$\forall z. f = f|_{z=0} \wedge f|_{z=1}$$

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## 2.1 Switching Function

### Existential and Universal Quantification

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$$\forall z. f = f|_{z=0} \wedge f|_{z=1}$$

and  $\forall \bar{z}. f = \forall z_1. \forall z_2. \dots \forall z_k. f$

- Let  $f(z, y_1, y_2) = (z \vee y_1) \wedge (\neg z \vee y_2)$ , then

$$\exists z. f = f|_{z=0} \vee f|_{z=1} = y_1 \vee y_2,$$

$$\forall z. f = f|_{z=0} \wedge f|_{z=1} = y_1 \wedge y_2$$

## 1 Counterexamples and Witnesses

## 2 Symbolic CTL Model Checking

- Switching Functions
- Encoding Transition Systems by Switching Functions
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## 2.2 Encoding Transition Systems by Switching Functions

### Encoding State of Transition Systems

Let  $TS = (S, \rightarrow, I, AP, L)$  and  $\bar{x} = (x_1, \dots, x_n)$ , for each state  $s \in S$ , the encoding of  $s$  is an evaluation

$$[x_1 = b_1, \dots, x_n = b_n] \in Eval(\bar{x}),$$

$x_i$  are Boolean variables,  $b_i \in \{0, 1\}$

### Characteristic Function

The characteristic function  $\chi_B$  is the switching function give by

$$\chi_B : Eval(\bar{x}) \rightarrow \{0, 1\}, \quad \chi_B(s) = \begin{cases} 1 & \text{if } s \in B \\ 0 & \text{otherwise.} \end{cases}$$

## 2.2 Encoding Transition Systems by Switching Functions

### Encoding of Satisfaction Set

For any  $a \in AP$ , the satisfaction set  $Sat(a) = \{s \in S \mid s \models a\}$  can be represented by the switching function

$$f_a = \chi_{Sat(a)} .$$

### Encoding of Labeling Function

A family  $(f(a))_{a \in AP}$  of switching functions can be used as a symbolic representation of the labeling function  $L$ .

## 2.2 Encoding Transition Systems by Switching Functions

### Encoding of Transition Relation

The transition relation  $\rightarrow$  of  $TS$  can be represented by the switching function

$$\Delta : Eval(\bar{x}, \bar{x}') \rightarrow \{0, 1\}, \quad \Delta(s, t\{\bar{x}'/\bar{x}\}) = \begin{cases} 1 & \text{if } s \rightarrow t \\ 0 & \text{otherwise} \end{cases}$$

- 1  $s$  and  $t$  are elements of the state space  $S = Eval(\bar{x})$
- 2  $\bar{x} = (x_1, \dots, x_n)$  and  $\bar{x}' = (x'_1, \dots, x'_n)$
- 3 Unprimed variables  $x_i$  serve to encode the current state
- 4 Primed variables  $x'_i$  serve to encoding the next state
- 5  $t\{\bar{x}'/\bar{x}\}$  is the evaluation after applying the replacement of  $x_i$  by  $x'_i$

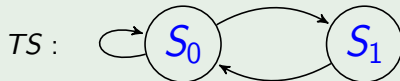


## 2.2 Encoding Transition Systems by Switching Functions

### Example 4 (Symbolic Representation of Transition Relation)

Just one Boolean variable  $x$  can be used for encoding, the transition relation  $\rightarrow$  is represented by  $\Delta : Eval(x, x') \rightarrow \{0, 1\}$ :

$$\Delta = \neg x \vee \neg x'$$



- 1 Satisfying assignment  $[x = 0, x' = 0]$  denotes the transition  $s_0 \rightarrow s_0$
- 2 Satisfying assignment  $[x = 0, x' = 1]$  denotes the transition  $s_0 \rightarrow s_1$
- 3 Satisfying assignment  $[x = 1, x' = 0]$  denotes the transition  $s_1 \rightarrow s_0$

## 2.2 Encoding Transition Systems by Switching Functions

### Example 5 (Symbolic Representation of a Ring)

Consider a transition system  $TS$  with states  $\{s_0, \dots, s_{k-1}\}$  where  $k = 2^n$  that are organized in a ring,  $TS$  has the transitions

$$s_i \rightarrow s_{(i+1) \bmod k}, \quad \text{for } 0 \leq i < k$$

Encoding any state  $s_i$  with the binary encoding of its index  $i$ :

- 1 if  $k = 16$ , then  $n = 4$  and state  $s_1$  is identified with 0001
- 2  $n$  Boolean variables  $x_1, \dots, x_n$  are used for encoding, evaluation  $[x_1 = b_1, \dots, x_n = b_n]$  stands for state  $\sum_{1 \leq i \leq n} b_i 2^{i-1}$
- 3 The switching function is

$$\sum_{1 \leq i \leq n} x'_i \cdot 2^{i-1} \equiv \left( (1 + \sum_{1 \leq i \leq n} x_i \cdot 2^{i-1}) \bmod k \right)$$

## 2.2 Encoding Transition Systems by Switching Functions

### Switching Function $\chi_{Post(s)}$

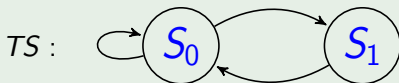
If  $s = [x_1 = b_1, \dots, x_n = b_n]$ , then a switching function  $\chi_{Post(s)}$  for the successor set  $Post(s) = \{s' \in S \mid s \rightarrow s'\}$  is obtained from  $\Delta$  by building the cofactor for the variables  $x_1, \dots, x_n$ :

$$\chi_{Post(s)} = \Delta|_s \{\bar{x}/\bar{x}'\}$$

- 1  $\Delta|_s$  stands for the iterated cofactor  $\Delta|_{x_1=b_1, \dots, x_n=b_n}$
- 2 The renaming operator  $\{\bar{x}/\bar{x}'\}$  yields a representation of  $Post(s)$  by the variables  $x_1, \dots, x_n$

## 2.2 Encoding Transition Systems by Switching Functions

### Example 6



Symbolic encoding of the successor set  $Post(s_0) = \{s_0, s_1\}$  for  $TS$ :

$$\Delta|_{x=0}\{x/x'\} = \underbrace{(\neg x \vee \neg x')|_{x=0}}_{=1}\{x/x'\} = 1 .$$

For state  $s_1 = [x = 1]$ ,  $Post(s_1) = \{s_0\} = \{[x = 0]\}$ , a symbolic representation of  $Post(s_1)$  is:

$$\Delta|_{x=1}\{x/x'\} = \underbrace{(\neg x \vee \neg x')|_{x=1}}_{=\neg x'}\{x/x'\} = \neg x .$$

## 2.2 Encoding Transition Systems by Switching Functions

### Computing $Pre^*(B)$

We can now describe the backward BFS-based reachability analysis to compute all states in  $Pre^*(B) = \{s \in S \mid s \models \exists \diamond B\}$ .

- 1 Start with the switching function  $f_0 = \chi_B$  that characterizes the set  $T_0 = B$ ;
- 2 Then, compute the characteristic functions  $f_{j+1} = \chi_{T_{j+1}}$  of

$$T_{j+1} = T_j \cup \{s \in S \mid \exists s' \in S. s' \in Post(s) \wedge s' \in T_j\}$$

- 3 The set of states  $s$  where the condition  $\exists s' \in S. s' \in Post(s) \wedge s' \in T_j$  holds is given by the switching function:

$$\exists \bar{x}'. \left( \underbrace{\Delta(\bar{x}, \bar{x}')}_{s' \in Post(s)} \wedge \underbrace{f_j(\bar{x}')}_{s' \in T_j} \right), \quad \text{where } f_j(\bar{x}') = f_j\{\bar{x}'/\bar{x}\}.$$

## 2.2 Encoding Transition Systems by Switching Functions

This BFS-based technique can easily be adapted to treat constrained reachability properties  $\exists(C \mathbf{U} B)$  for subsets  $B, C$  of  $S$ :

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**Algorithm 1:** Symbolic computation of  $Sat(\exists(C \mathbf{U} B))$

---

```
1  $f_0(\bar{x}) := \chi_B(\bar{x});$   
2  $j := 0;$   
3 repeat  
4    $f_{j+1}(\bar{x}) := f_j(\bar{x}) \vee (\chi_C(\bar{x}) \wedge \exists \bar{x}'. (\Delta(\bar{x}, \bar{x}') \wedge f_j(\bar{x}')));$   
5    $j := j + 1;$   
6 until  $f_j(\bar{x}) = f_{j-1}(\bar{x});$   
7 return  $f_j(\bar{x});$ 
```

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$$Sat(\exists \circ B) = \exists \bar{x}'. \underbrace{(\Delta(\bar{x}, \bar{x}'))}_{s' \in Post(s)} \wedge \underbrace{\chi_B(\bar{x}')}_{s' \in B}$$

## 2.2 Encoding Transition Systems by Switching Functions

The set  $Sat(\exists\Box B)$  of all states  $s$  that have an infinite path consisting of states in a given set  $B$  can be computed symbolically

- 1  $T_0 = B$
- 2  $T_{j+1} = T_j \cap \{s \in S \mid \exists s' \in S. s' \in Post(s) \wedge s' \in T_j\}$

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**Algorithm 2:** Symbolic computation of  $Sat(\exists\Box B)$

---

```
1  $f_0(\bar{x}) := \chi_B(\bar{x});$ 
2  $j := 0;$ 
3 repeat
4    $f_{j+1}(\bar{x}) := f_j(\bar{x}) \wedge \exists \bar{x}'. (\Delta(\bar{x}, \bar{x}') \wedge f_j(\bar{x}'));$ 
5    $j := j + 1;$ 
6 until  $f_j(\bar{x}) = f_{j-1}(\bar{x});$ 
7 return  $f_j(\bar{x});$ 
```

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## 2.3 Odered Binary Decision Diagrams

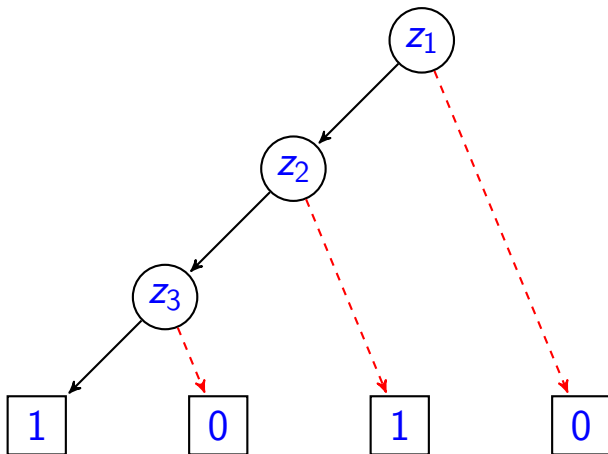
### Ordered Binary Decision Diagrams

Ordered binary decision diagrams (OBDD), a data structure for switching functions that relies on a compactification of binary decision trees

- 1 Collapsing constant subtrees (i.e., subtrees where all terminal nodes have the same value) into a single node
- 2 Identifying nodes with isomorphic subtrees

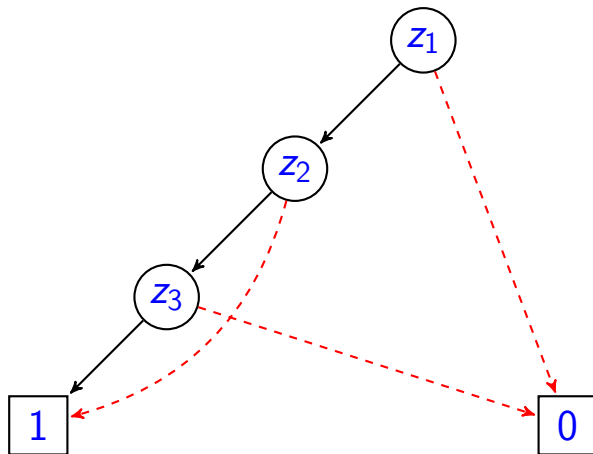
## 2.3 Odered Binary Decision Diagrams

Binary decision diagram for  $z_1 \wedge (\neg z_2 \vee z_3)$ :



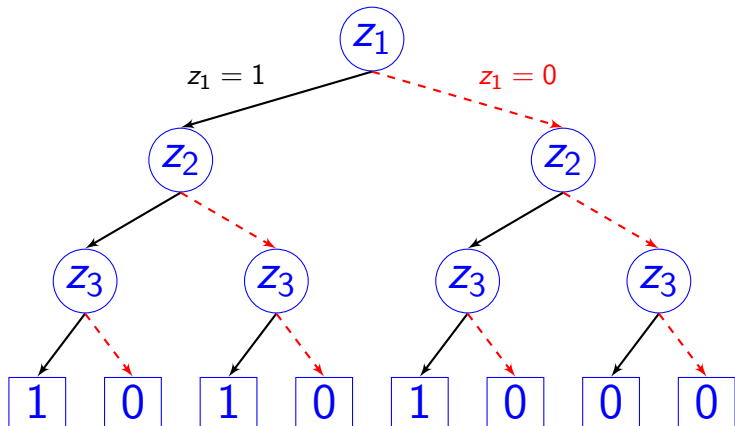
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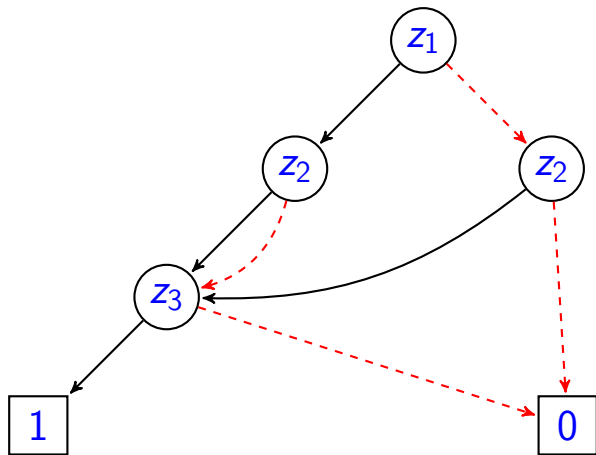
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Binary decision tree for  $f = (z_1 \wedge z_3) \vee (\neg z_2 \vee z_3)$ : The three subtrees of the  $z_3$ -nodes for the cofactors  $f|_{z_1=0, z_2=1}$ ,  $f|_{z_1=1, z_2=0}$  and  $f|_{z_1=1, z_2=1}$  are isomorphic and can thus be collapsed



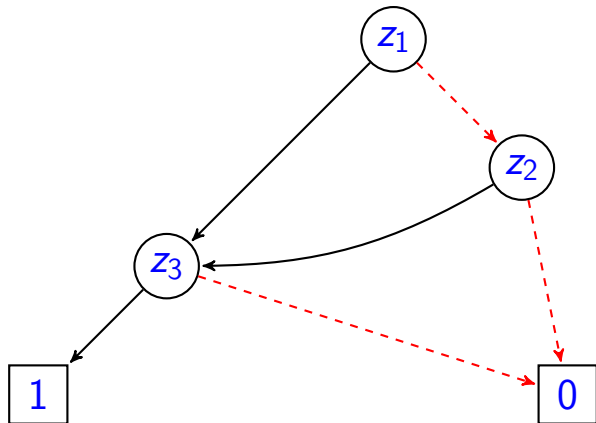
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Binary decision diagram for  $f = (z_1 \wedge z_3) \vee (\neg z_2 \vee z_3)$ : now the  $z_2$ -node for the cofactor  $f|_{z_1=1}$  becomes redundant, as regardless whether  $z_2 = 0$  or  $z_2 = 1$ ,



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Binary decision diagram for  $f = (z_1 \wedge z_3) \vee (\neg z_2 \vee z_3)$ :



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### Variable Ordering

Let  $Var$  be a finite set of variables. A **variable ordering** for  $Var$  denotes any tuple  $\wp = (z_1, \dots, z_m)$  such that  $Var = \{z_1, \dots, z_m\}$  and  $z_i \neq z_j$  for  $1 \leq i < j \leq m$ .

$$z_i <_{\wp} z_j \quad \text{iff} \quad i < j$$

$$z_i \leq_{\wp} z_j \quad \text{iff} \quad i < j \text{ or } i = j$$

## 2.3 Ordered Binary Decision Diagrams

### Definition 7 (Ordered Binary Decision Diagram (OBDD))

Let  $\wp$  be a variable ordering for  $\text{Var}$ . A  $\wp$ -ordered binary decision diagram ( $\wp$ -OBDD for short) is a tuple

$$\mathfrak{B} = (V, V_I, V_T, \text{succ}_0, \text{succ}_1, \text{var}, \text{val}, v_0)$$

- 1  $V = V_I \cup V_T$  : a finite set  $V$  of **nodes**,  $V_I$  : set of **inner** nodes,  $V_T$  : set of **terminal** nodes
- 2  $\text{succ}_0, \text{succ}_1 : V_I \rightarrow V$ , assign to each inner node  $v$  a **0-successor**  $\text{succ}_0(v) \in V$  and **1-successor**  $\text{succ}_1(v) \in V$
- 3  $\text{var} : V_I \rightarrow \text{Var}$ , assigns to each **inner** node  $v$  a **variable**  $\text{var}(v) \in \text{Var}$
- 4  $\text{val} : V_T \rightarrow \{0, 1\}$ , assigns to each **terminal** node a **function value**
- 5 a **root node**  $v_0 \in V$



## 2.3 Ordered Binary Decision Diagrams

For each path  $v_0 v_1 \dots v_n$  in  $\mathfrak{B}$  we have  $v_i \in V_I$  for  $1 \leq i < n$  and

$$\text{var}(v_0) <_{\wp} \text{var}(v_1) <_{\wp} \dots <_{\wp} \text{var}(v_n),$$

where for the terminal nodes we put  $\text{var}(v) = \perp$  (undefined) and extend  $<_{\wp}$  by  $z <_{\wp} \perp$  for all variables  $z \in \text{Var}$ , and

$$\text{var} : V \rightarrow \text{Var} \cup \{\perp\}$$

This yields that the underlying graph of an OBDD is acyclic.

## 2.3 Ordered Binary Decision Diagrams

### Semantics of OBDDs

Let  $\mathfrak{B}$  be an  $\wp$ -OBDD. The semantics of  $\mathfrak{B}$  is the switching function  $f_{\mathfrak{B}}$  for  $Var$ :

- $f_{\mathfrak{B}}([z_1 = b_1, \dots, z_m = b_m])$  is the value of the terminal node that will be reached from the root

### Sub-OBDD, Switching Function for the Nodes

Let  $\mathfrak{B}$  be a  $\wp$ -OBDD,

- 1 If  $v$  is a node in  $\mathfrak{B}$ , then the **sub-OBDD** induced by  $v$ , denoted  $\mathfrak{B}_v$  arises from  $\mathfrak{B}$  by declaring  $v$  as the root node and removing all nodes that are not reachable from  $v$
- 2 The **switching function** for node, denoted  $f_v$ , is the switching function for  $Var$  that is given by the sub-OBDD  $\mathfrak{B}_v$ .

## 2.3 Ordered Binary Decision Diagrams

### Lemma 8 (Bottom-up Characterization of the Functions $f_v$ )

Let  $\mathfrak{B}$  be a  $\wp$ -OBDD. The switching functions  $f_v$  for the nodes  $v \in V$  are given as follows:

- 1 If  $v$  is a terminal node, then  $f_v$  is the constant switching function with value  $\text{val}(v)$ .
- 2 If  $v$  is a  $z$ -node ( $\text{var}(v) = z$ ), then

$$f_v = \underbrace{(\neg z \wedge f_{\text{succ}_0(v)}) \vee (z \wedge f_{\text{succ}_1(v)})}_{\text{Shannon Expansion}}$$

- 3  $f_{\mathfrak{B}} = f_{v_0}$  for the root  $v_0$  of  $\mathfrak{B}$

This yields that

$$f_v = f_{\mathfrak{B}}|_{z_1=b_1, \dots, z_i=b_i}$$

where  $[z_1 = b_1, \dots, z_i = b_i]$  leads from the root  $v_0$  of  $\mathfrak{B}$  to node  $v$ .

## 2.3 Odered Binary Decision Diagrams

### $\wp$ -Consistent Cofactor

Let  $f$  be a switching function for  $Var$  and  $\wp = (z_1, \dots, z_m)$  a variable ordering for  $Var$ . A switching function  $f'$  for  $Var$  is called a  $\wp$ -consistent cofactor of  $f$  if such that  $f' = f|_{z_1=b_1, \dots, z_i=b_i}$  for some  $i \in \{0, 1, \dots, m\}$ .

### Example 9

If  $f = z_1 \wedge (z_2 \vee \neg z_3)$  and  $\wp = (z_1, z_2, z_3)$

- ①  $f, f|_{z_1=1} = z_2 \vee \neg z_3, f|_{z_1=1, z_2=0} = \neg z_3$  and constants 0 and 1 are  $\wp$ -consistent cofactors of  $f$

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- 2 The cofactors  $f|_{z_3=0} = z_1$  and  $f|_{z_2=0} = z_1 \wedge \neg z_3$  are not  $\wp$ -consistent

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- 2 The cofactors  $f|_{z_3=0} = z_1$  and  $f|_{z_2=0} = z_1 \wedge \neg z_3$  are not  $\wp$ -consistent
- 3 The cofactor  $f|_{z_2=0, z_3=1}$  is  $\wp$ -consistent as it agrees with the cofactors  $f|_{z_1=0}$  or  $f|_{z_1=1, z_2=0, z_3=1}$

## 2.3 Ordered Binary Decision Diagrams

### Lemma 10 (Nodes in OBDDs and $\wp$ -Consistent Cofactors)

- 1 For each node  $v$  of an  $\wp$ -OBDD  $\mathfrak{B}$ , the switching function  $f_v$  is a  $\wp$ -consistent cofactor of  $f_{\mathfrak{B}}$
  - 2 Vice versa, for each  $\wp$ -consistent cofactor  $f'$  of  $f_{\mathfrak{B}}$  there is at least one node  $v$  in  $\mathfrak{B}$  such that  $f_v = f'$ .
- Given a  $\wp$ -OBDD  $\mathfrak{B}$  and a  $\wp$ -consistent cofactor  $f'$  of  $f_{\mathfrak{B}}$  there **could be more than one node** in  $\mathfrak{B}$  representing  $f'$
  - e.g.,  $f|_{z_1=0} = f|_{z_1=0, z_2=b} = f|_{z_1=0, z_2=n, z_3=c} = 0$  for all  $b, c \in \{0, 1\}$  (for  $f = z_1 \wedge (\neg z_2 \vee z_3)$ )